

**TRANSMITTAL
FORM**

Application Number: 10/532,551
Filing Date: April 22, 2005
First Named Inventor: ISIAH J. FIDLER
Art Unit: Unknown
Examiner Name: Unknown

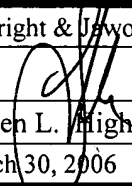
Total Number of Pages in this Submission : _____ **Attorney Docket Number:** UTSC:767US

ENCLOSURES (check all that apply)

- | | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Form PTO-1449
<input type="checkbox"/> References _____
<input type="checkbox"/> Certified Copy of Priority Documents
<input checked="" type="checkbox"/> Reply to Missing Parts/
Incomplete Application
<input checked="" type="checkbox"/> Reply to Missing
Parts/Requirements
<input checked="" type="checkbox"/> Declaration(s) 2
<input checked="" type="checkbox"/> Copy of Notice of Missing
Parts/Requirements | <input type="checkbox"/> Drawings(s) _____
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional
Application
<input type="checkbox"/> Power of Attorney, Revocation,
Change of Correspondence
Address
<input type="checkbox"/> Statement under 37 CFR §3.73(b)
<input type="checkbox"/> Designation of Patent Practitioners
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number CD(s) _____
<input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Appeal Communication to Board of
Appeals and Interferences
<input type="checkbox"/> Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)
<input checked="" type="checkbox"/> Check in the amount of \$65.00
<input checked="" type="checkbox"/> Authorized to be charged to deposit account
if check insufficient or inadvertently omitted
Deposit account number: <u>50-1212/UTSC:767US</u>
<input type="checkbox"/> Sequence Statement
<input type="checkbox"/> Paper Copy of Sequence Listing
<input type="checkbox"/> Computer Readable Form (CRF)
<input checked="" type="checkbox"/> Postcard
<input type="checkbox"/> _____
<input type="checkbox"/> _____ |
|---|--|---|

Remarks:

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Fulbright & Jeworski L.L.P.	Customer Number	32425
Signature			
Printed Name	Steven L. Highlander	Reg. No.	37,642
Date	March 30, 2006		

EXPRESS MAIL MAILING LABEL

NUMBER EV 674811634 US

DATE OF DEPOSIT March 30, 2006

Rec'd PCT 30 MAR 2006

#8

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ISAIAH J. FIDLER, ET AL.

Serial No.: 10/532,551

Filed: April 22, 2005

For: ACTIVE SPECIFIC IMMUNOTHERAPY
OF CANCER METASTASIS

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: UTSC:767US

EXPRESS MAIL MAILING LABEL

NUMBER EV 674811634 US

DATE OF DEPOSIT March 30, 2006

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C.
371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

MS PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

In response to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), dated January 30, 2006, there are enclosed herewith:

- (a) Two Declarations executed on behalf of ISAIAH J. FIDLER, ZHONGYUN DONG and WEIXIN LU;
- (b) Our check in the amount of \$65.00 to cover the surcharge for late filing; and
- (c) A copy of Notification of Missing Requirements under 35 U.S.C. 371 in the

United States Designated/Elected Office (DO/EO/US).

04/04/2006 HKAYPAGH 00000123 10532551

01 FC:2617

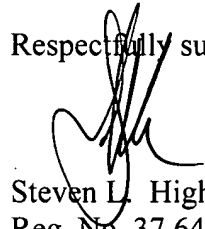
65.00 DP

An Assignment to BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM
and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R.
§§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an
overpayment be included, the Commissioner is authorized to deduct or credit the appropriate fees
from or to Fulbright & Jaworski Deposit Account No.: 50-1212/UTSC:767US.

Please date stamp and return the accompanying postcard to evidence receipt of these
documents.

Respectfully submitted,



Steven L. Highlander
Reg. No. 37,642
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701
(512) 474-5201

Date: March 30, 2006



UNITED STATES PATENT AND TRADEMARK OFFICE

Rec'd PCT/PTO 30 MAR 2006

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/532,551	Isaiah J Fidler	UTSC:767US

INTERNATIONAL APPLICATION NO.	
PCT/US03/33395	
I.A. FILING DATE	PRIORITY DATE
10/21/2003	10/22/2002

32425
 FULBRIGHT & JAWORSKI L.L.P.
 600 CONGRESS AVE.
 SUITE 2400
 AUSTIN, TX 78701

CONFIRMATION NO. 3754

371 FORMALITIES LETTER



OC000000017622979

Date Mailed: 01/30/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/20/2005
- Copy of the International Search Report filed on 04/22/2005
- Preliminary Amendments filed on 09/20/2005
- Small Entity Statement filed on 09/20/2005
- U.S. Basic National Fees filed on 09/20/2005
- Priority Documents filed on 09/20/2005

FULBRIGHT & JAWORSKI, LLP

IPT/DOCKETING

Docketed ☒ Not Req'd ☐ Confirmation ☐Initials 1st JA Initials 2nd MR

FEB 06 2006

Attorney DP/BLHDocket No. UTSC:767US

Action Req'd

Date Due

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

Missing Parts due 3/30/06.
 Final 8/30/06.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHRISTINE S WASHINGTON

Telephone: (703) 308-9140 EXT 228

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/532,551	PCT/US03/33395	UTSC:767US

FORM PCT/DO/EO/905 (371 Formalities Notice)

Rec'd PCT 30 MAR. 2006



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/532,551		Isaiah J Fidler	UTSC:767US

32425
 FULBRIGHT & JAWORSKI L.L.P.
 600 CONGRESS AVE.
 SUITE 2400
 AUSTIN, TX 78701

CONFIRMATION NO. 3754
 WITHDRAWAL
 NOTICE

Date Mailed: 01/30/2006

WITHDRAWAL OF PREVIOUSLY SENT NOTICE

The Notice of Abandonment mailed on 08/17/2005 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. The Office regrets any inconvenience the error may have caused.

FULBRIGHT & JAWORSKI, LLP
 IPT DOCKETING
 Docketed ☒ Not Req'd ☐ Confirmation ☐
 Initials 1st JA Initials 2nd _____

FEB 03 2006

Attorney

Docket No.

Action Req'd

Date Due

OLP/SAH
UTSC:76745
Noted

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382

PART 1 - ATTORNEY/APPLICANT COPY